



Atty. Dkt. No. 037607-0135

031088 US PTO
10/738468



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William R. Nolan III

Title: SYSTEM AND METHOD FOR
PROCESSING DATA
PERTAINING TO FINANCIAL
ASSETS

Appl. No.: To be determined

Filing Date: 12/17/03

Examiner: To be determined

Art Unit: To be determined

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.	
EL 979077269 US (Express Mail Label Number)	12/17/03 (Date of Deposit)
Roberta A. Cooper (Printed Name)	
<i>Roberta A. Cooper</i> (Signature)	

UTILITY PATENT APPLICATION
TRANSMITTAL

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

William R. Nolan III
18308 Dundonnell Way
Olney, Maryland 20832

Enclosed are:

- Specification, Claim(s), and Abstract (52 pages).
- Formal drawings (9 sheets, Figures 1, 2, 3A, 3B, 4, 5, 6, 7 and 8).
- Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i) (1 page).
- Application Data Sheet (37 CFR 1.76) (3 pages).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$770.00	\$770.00
Total Claims:	32	- 20	= 12 x	\$18.00	\$216.00
Independents	5	- 3	= 2 x	\$86.00	\$172.00
⋮					
If any Multiple Dependent Claim(s) present:			+	\$290.00	\$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration			+	\$130.00	\$130.00
				SUBTOTAL:	\$1,288.00
[]				Small Entity Fees Apply (subtract ½ of above):	\$0.00
				TOTAL FILING FEE:	\$1,288.00

[X] A check in the amount of \$1,288.00 to cover the filing fee is enclosed.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 12/17/03

By Michael S. Brayer

FOLEY & LARDNER
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Michael S. Brayer
Attorney for Applicant
Registration No. 51,495

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Roberta A. Cooper (Printed Name)	
<i>Roberta A. Cooper</i> (Signature)	

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicant hereby certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant hereby requests that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

Date 12/17/03

By Michael S. Brayer

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Telephone: (414) 297-5684
Facsimile: (414) 297-4900

Michael S. Brayer
Attorney for Applicant
Registration No. 51,495

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Roberta A. Cooper (Printed Name)	
<i>Roberta A. Cooper</i> (Signature)	

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (9 sheets, Figures 1, 2, 3A, 3B, 4, 5, 6, 7 and 8) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date 12/17/03

By Michael S. Brayer

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Michael S. Brayer
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